**TRANSMITTAL  
FORM***(to be used for all correspondence after initial filing)***Application Number**

10/656,911

**Filing Date**

September 4, 2003

**First Named Inventor**

Jeffery A. Whiteford

**Group Art Unit**

Unassigned

**Examiner Name**

Unassigned

**Total Number of Pages in This Submission**

8

**Attorney Docket Number**

40-003300US

**ENCLOSURES (check all that apply)**

- ☐ Fee Transmittal Form  
☐ Fee Attached
- ☐ Amendment / Response  
☐ After Final  
☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers  
(for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition
- ☐ Petition to Convert to a  
Provisional Application
- ☐ Power of Attorney, Revocation  
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- ☐ Terminal Disclaimer
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Individual name**

Angela P. Horne, Ph.D., Reg. No. 41,079, Quine Intellectual Property Law Group, P.C.

**Signature**

Angela P. Horne, PhD

**Date**

February 13, 2004

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QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By Deborah Berwick  
Deborah Berwick

Attorney Docket No. 40-003300US  
Client Ref. No. 01-003300US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jeffery A. Whiteford, et al.

Application No.: 10/656,911

Filed: September 4, 2003

For: ORIENTED NANOSTRUCTURES  
AND METHODS OF PREPARING

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR § 1.97 and  
§ 1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.



Jeffery A. Whiteford, et al.  
Application No.: 10/656,911  
Page 2

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action on the merits per 37 CFR 1.97(b)(3). However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

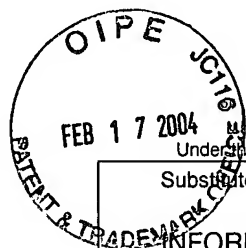
Respectfully submitted,

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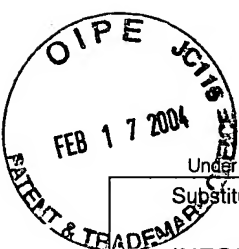
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Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	40-003300US
Date Submitted	February 13, 2004

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal
		Number	Kind Code (if known)			
	1	5,196,396		Lieber	03-23-1993	
	2	5,230,957		Lin	07-27-1993	
	3	5,252,835		Lieber et al.	10-12-1993	
	4	5,274,602		Glenn	12-28-1993	
	5	5,505,928		Alivisatos et al.	04-09-1996	
	6	5,537,000		Alivisatos et al.	07-16-1996	
	7	5,640,343		Gallagher et al.	06-17-1997	
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	14	6,048,616		Gallagher et al.	04-11-2000	
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	25	6,413,489	B1	Ying et al.	07-02-2002	

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Attorney Docket Number	40-003300US
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## FOREIGN PATENT DOCUMENTS

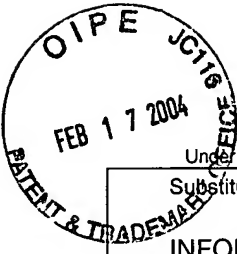
Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				
	26	WO	96/29629	A2	President and Fellows of Harvard College	09-26-1996		
	27	WO	01/03208	A1	President and Fellows of Harvard College	01-11-2001		
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	34	WO	03/27847	A1	Motorola, Inc.	04-03-2003		

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	35	BACHTOLD ET AL. (2001) "Logic Circuits with Carbon Nanotube Transistors." <u>Science</u> 294:1317-1320.	
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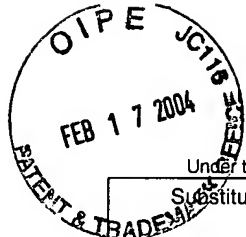
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	First Named Inventor	<b>Jeffery A. Whiteford</b>
	Group Art Unit	<b>Unassigned</b>
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42	COLVIN ET AL. (1992) "Semiconductor Nanocrystals Covalently Bound to Metal Surfaces with Self-Assembled Monolayers." <u>Journal of the American Chemistry Society</u> 114:5221-5230.	
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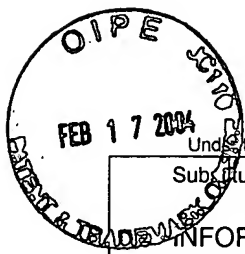
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75	THESS ET AL. (1996) "Crystalline Ropes of Metallic Carbon Nanotubes." <u>Science</u> 273: 483-487.	
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